SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person			2. Issuer Name and Ticker or Trading Symbol Liquidia Corp [LQDA]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
JEFFS ROGER	<u>-</u>		<u></u>	X	Director	10% Owner		
(Last) (First)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/31/2022	X	Officer (give title below) Chief Executive (Other (specify below)		
419 DAVIS DRIVE	E, SUITE 100				Chief Executive (Jincer		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year) 09/01/2022	6. Indiv Line)	idual or Joint/Group Filing	(Check Applicable		
MORRISVILLE N	1C	27560		X	Form filed by One Repo	rting Person		
					Form filed by More than Person	One Reporting		
(City) (S	State)	(Zip)						
	Tab	le I - Non-Derivat	tive Securities Acquired, Disposed of, or Benef	icially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount (A) or (D) Price Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)		
Common Stock	08/31/2022		A		993 ⁽³⁾	A	\$4.9045	116,007	D	
Common Stock								46,595	I	See footnote ⁽¹⁾
Common Stock								1,541,667	I	See footnote ⁽²⁾

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (a.g., pute, colle, warrante, optione, convertible convertible

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. The securities are held by Roger A. Jeffs Living Trust UAD 2/29/2000 (the "Trust"). The Reporting Person is the trustee of the Trust.

2. The securities are held by Serendipity BioPharma LLC ("Serendipity"). The Reporting Person is a manager of Serendipity and has sole voting and dispositive power over the Issuer common stock held by Serendipity.

3. This amendment is being filed solely to add this footnote 3 and to correct the transaction code in box 3 above. These shares of common stock were acquired on August 31, 2022 through the Liquidia Corporation 2020 Employee Stock Purchase Plan in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).

 /s/ Roger Jeffs
 04/10/2023

 ** Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.